



**Scott Traudt**

@Greenhills303

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Another ruling against me in *Traudt v. Rubenstein*, and yes you have to be precise. Read the sections of my 1st Amended Complaint (white) and then read the court's ruling. I did all but challenge FINRA's existence (and did challenge it in other filings) but here's the lesson kiddies: you have to throw everything in. I did not register on the target on FINRA cleanly, only on the oblique. If I missed the mark, I hit it in other filings anyway. But if this starts the process of amending the complaint for the next round, so be it. This is the arena we are fighting in.

39. FINRA as a self-regulatory organization (SRO) has no standing under

Empires v. Raimondo (US Supreme Ct. #22-451, 2024) and West Virginia v. EPA. All Motions to Dismiss and Motions for Arbitration are denied. The Court held that the SRO's standing was pre-empted by Congress.

40. FINRA's U3 halt was illegal under West Virginia as the rarity by which such a thing happens (only three times since the Securities Act of 1934 was passed) and because it is illegal under the revised "major questions" doctrine by which the US Supreme Court reined in a federal agency making policy decisions for Americans when it had no power to do so; it was orchestrated by two Wall Street back benchers and aided and abetted with a short seller in the room with them with vested financial interest in seeing the shorts removed because of their exposure to catastrophic losses.

41. That FINRA has "immunity" from a damage claim as a private SRO is impossible to sustain in the wake of Loper as the decision was not appealable, was done by a organization usurping Congressional law making powers, contravenes the clear and unambiguous language of 2010's Dodd-Frank laws, and because as an SRO it was the legality of its existence is not called into question – simply impossible for it, and it must be removed, to not be seen as one.

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**Dennis** @DennisTheArtist · 11/5/24

Thanks for trying, oh well, good effort Scott

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**Scott Traudt** @Greenhills303 · 11/5/24

It's not over dude, these aren't important motions. Wildcards.